

NOTICES OF RULEMAKING DOCKET OPENING

The Administrative Procedure Act (APA) requires the publication of Notices of Rulemaking Docket Opening whenever an agency opens a rulemaking docket to consider rulemaking. Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process.

NOTICE OF RULEMAKING DOCKET OPENING

ARIZONA HEALTH CARE COST CONTAINMENT SYSTEM (AHCCCS)

ADMINISTRATION

1. **Title and its heading:** 9, Health Services
Chapter and its heading: 22, Arizona Health Care Cost Containment System (AHCCCS)
Administration
Article and its heading: 1, Definitions
9, Quality Control Review and Analysis
Section numbers: R9-22-101, R9-22-109, R9-22-901, R9-22-902, R9-22-903, R9-22-904,
R9-22-905, R9-22-906, R9-22-907
2. **The subject matter of the proposed rule:**
The Administration is opening 7 sections in 9 A.A.C. 22, Article 9, and the corresponding definitions in 9 A.A.C. 22, Article 1, to make the language more clear, concise, and understandable and to correspond with recent changes in the Chapter.
3. **A citation to all published notices relating to the proceeding:**
None.
4. **The name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Cheri Tomlinson
Address: AHCCCS Administration
Office of Policy Analysis and Coordination
801 East Jefferson Street, Mail Drop 4200
Phoenix, Arizona 85034
Telephone: (602) 417-4198
Facsimile Number: (602) 256-6756
5. **The time which the agency will accept written comments and the time and place where oral comments may be made:**
The Administration will accept written comments Monday through Friday, 8 a.m. to 5 p.m., at the address indicated in question #4. Public hearings will be scheduled later to provide a forum for interactive discussion with interested parties.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
The Administration anticipates filing a Notice of Proposed Rulemaking in May 1999, and holding a public hearing in July 1999.

NOTICE OF RULEMAKING DOCKET OPENING

DEPARTMENT OF WATER RESOURCES

1. **Title and its heading:** 12, Natural Resources
Chapter and its heading: 15, Department of Water Resources
Article and its heading: 12, Dam Safety Procedures
Section numbers: R12-15-1201 through R12-15-1227
2. **The subject matter of the proposed rule:**
These rules establish reasonable standards consistent with accepted engineering practice. They designate the types of dams to which the rules apply; set forth a program for permitting construction of new dams and for the repair, alteration, enlargement, and removal of existing dams; and establish requirements and owner responsibilities for operation, maintenance, and emergency action.
3. **A citation to all published notices relating to the proceeding:**
None published.
4. **The name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Dan Lawrence, Section Manager, Safety of Dams Section

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Address: Arizona Department of Water Resources
500 North 3rd Street
Phoenix, Arizona 85004

Telephone: (602) 417-2445

Facsimile: (602) 417-2423

OR

Name: Martha McConnell, Deputy Counsel

Address: Arizona Department of Water Resources
500 North 3rd Street
Phoenix, Arizona 85004

Telephone: (602) 417-2420

Facsimile: (602) 417-2415

5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**
The Department accepts written comments Monday through Friday between 8 a.m. and 5 p.m. The Department will schedule an oral proceeding, within the statutorily mandated time-frame, to be noticed in the *Register*.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
After the rules are distributed and workshops held with interested persons, the Department will publish notice of the oral proceeding with the Notice of Proposed Rulemaking.

NOTICE OF RULEMAKING DOCKET OPENING

**ARIZONA CORPORATION COMMISSION
SECURITIES DIVISION**

1. **Title and its heading:** 14, Public Service Corporations; Corporations and Associations;
Securities Regulation
Chapter and its heading: 4, Securities
Article and its heading: 1, In General Relating to the Arizona Securities Act
Section number: R14-4-143 (*Additional sections may be added, deleted, or modified.*)
2. **Subject matter of proposed rule:**
The proposed new rule provides that dealers and salesmen who use the Internet to distribute information on products and services relating to securities shall not be deemed to be selling, purchasing, or offering to sell or buy securities based solely on that activity if the conditions of the rule are observed.
Agency docket number: Docket Number RS-00000A-99-035.
3. **A citation to all published notices relating to the proceeding:**
None.
4. **The name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Cheryl T. Farson, Associate General Counsel
Address: Arizona Corporation Commission, Securities Division
1300 West Washington, Third Floor
Phoenix, Arizona 85007
Telephone: (602) 542-4242
Fax: (602) 594-7470
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**
Monday - Friday, 8 a.m. - 5 p.m. at the Securities Division as noted in question #4. No hearing date has been set.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
None known.

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NOTICE OF RULEMAKING DOCKET OPENING

ARIZONA CORPORATION COMMISSION, SECURITIES DIVISION

1. **Title and its heading:** 14, Public Service Corporations; Corporations and Associations; Securities Regulation
Chapter and its heading: 6, Investment Management
Articles and their headings: 1, General Provisions Relating to the Arizona Investment Management Act
2, Duties of Investment Advisers and Investment Adviser Representatives
Section numbers: R14-6-101 through R14-6-104, R14-6-106, and R14-6-201 through R14-6-212
(Additional sections may be added, deleted, or modified.)
2. **The subject matter of the proposed rules:**
A.A.C. R14-6-101 through R14-6-104 and R14-6-201 through R14-6-209, combined with the enforcement Sections (A.A.C. R14-4-301 through R14-4-308) of the Arizona Securities Act, provide a comprehensive framework of regulation as authorized by the IM Act in place of the repealed Sections. The Corporation Commission proposes the repeal of Sections R14-6-101 through R14-6-104 and R14-6-201 through R14-6-209 and the adoption of new Sections R14-6-101 through R14-6-104, R14-6-106, and R14-6-201 through R14-6-212 in order to correct technical errors within the Sections, to reflect amendments to the United States Securities and Exchange Commission rules regarding the regulation of investment advisers and investment adviser representatives, and to prescribe parameters to the term "solicit" as used in the Arizona Investment Management Act adopted in April 1994 ("IM Act").
The agency docket number: Docket Number RS-00000A-98-0240
3. **A citation to all published notices relating to the proceeding:**
4 A.A.R. 1290, June 5, 1998.
4. **The name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Cheryl T. Farson, Associate General Counsel
Address: Arizona Corporation Commission, Securities Division
1300 W. Washington, Third Floor
Phoenix, Arizona 85007
Telephone: (602) 542-4242
Fax: (602) 594-7470
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**
All comments will be accepted Monday - Friday, 8 a.m. - 5 p.m., and should be addressed to the person listed in question 4. No hearing date has been set.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
None known.